

Name Change Form

Regular Mail: The Tocqueville Trust
c/o U.S. Bank Global Fund Services
PO Box 219252
Kansas City, MO 64121-9252

Overnight Delivery: The Tocqueville Trust
c/o U.S. Bank Global Fund Services
801 Pennsylvania Ave Suite 219252
Kansas City, MO 64105-1307

1 Current Account Information

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FORMER NAME (AS IT APPEARS ON YOUR ACCOUNT REGISTRATION)

SOCIAL SECURITY NUMBER

--

PERMANENT STREET ADDRESS

--	--

CITY / STATE / ZIP CODE

PHONE NUMBER

--

MAILING ADDRESS (IF DIFFERENT FROM ABOVE)

--

CITY / STATE / ZIP CODE

Please indicate the account(s) this name change will affect:

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FUND NAME

FUND NUMBER

ACCOUNT NUMBER

--	--	--

FUND NAME

FUND NUMBER

ACCOUNT NUMBER

--	--	--

FUND NAME

FUND NUMBER

ACCOUNT NUMBER

Note: Please attach a separate piece of paper listing any additional account numbers this change will affect.

2 Updated Account Information

Please provide updated information exactly as you would like it to appear on your account(s). This includes your new name and, if applicable, your new address. If your mailing address is a PO Box, a permanent street address is also required by the USA PATRIOT Act.

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NEW NAME

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PERMANENT STREET ADDRESS

--	--

CITY / STATE / ZIP CODE

PHONE NUMBER

--

MAILING ADDRESS (IF DIFFERENT FROM ABOVE)

--

CITY / STATE / ZIP CODE

Please select one:

- ☐ I have attached a certified copy of the legal documentation proving my name change (ex. marriage certificate or divorce decree)
- ☐ I am unable to provide a certified copy of the legal documentation proving my name change. I have obtained either a signature guarantee, signature validation or notary stamp in the Signature Guarantee section of the form

3 Signature & Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number, and
2. I am not subject to backup withholding as a result of either being exempt from backup withholding, not being notified by the Internal Revenue service (IRS) of a failure to report all interest or dividends, or the IRS has notified me that I am no longer subject to backup withholding*, and
3. I am a U.S. person (including a U.S. resident alien), and
4. The FATCA code entered on this form (if any) indicating that the payee is exempt from FATCA reporting is correct.

***Note:** Cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Please sign below with your new and former name.

<div>X</div> <div>SIGNATURE IN FORMER NAME</div>	<div></div> <div>DATE</div>
<div>X</div> <div>SIGNATURE IN NEW NAME</div>	<div></div> <div>DATE</div>

4 Signature Guarantee (if required)

A signature guarantee will be required if you are unable to provide the legal documentation proving your name change. Your signatures must be guaranteed by an officer of a bank, savings association, credit union, a member firm of a domestic stock exchange, or the Financial Industry Regulatory Authority, that is an eligible guarantor institution. A notary public from a financial institution is able to provide an acceptable guarantee. The notary public's business card or a signed letter from the notary public on the financial institution's letterhead must accompany the form.

<div></div> <div>AUTHORIZED SIGNATURE GUARANTEE STAMP</div>	<div></div> <div>DATE</div>
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